

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Clifton A. Alferness, John M. Adams, Mark L. Mathis, and David G. Reuter

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Title: ANCHOR AND PULL MITRAL VALVE DEVICE AND METHOD

Examiner/Unit: Urmi Chattopadhyay / 3738

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RULE 56 INFORMATION DISCLOSURE AND
ACCOMPANYING SECTION 1.97(e) CERTIFICATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants acting by and through the attorney of record, hereby discloses patents and other documents listed on the attached Form PTO-1449 which may be considered relevant to the examination of the subject application.

Copies of the documents are enclosed.

Certification Under Section 1.97(e)

The undersigned hereby certifies that this information was not known to individuals associated with the filing or prosecution of the subject patent application in accordance with Section 1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

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